



Docket No. 4439-4042

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial No.: 10/577,781 Confirmation No.: TBA

Applicant(s): Yamaguchi, Masayoshi Group Art Unit: TBA  
Examiner: TBA

Filed: April 28, 2006 Customer No.: 27123

For: HYPERLIPEMIA/HYPERALBUMINEMIA MODEL ANIMAL

**EXPRESS MAIL CERTIFICATE**

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Date of Deposit: October 12, 2006

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1. Supplemental Information Disclosure Statement (1 page, and duplicate);
2. Form PTO-1449 (1 page);
3. References Cited Therein (4 of 4); and
4. Return Receipt Postcard.

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Jafet Cotto

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**Mail Stop PCT  
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P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to Rule 56, applicant hereby calls the attention of the Patent Office to the references listed on the attached Form PTO 1449.

Copy(ies) of these references:

- ☒ Foreign Patent Applications, Foreign Patents and/or Other Non-Patent Documents are attached (Copies of cited U.S. Patents/Publications are not provided).
- ☐ Were filed in related application U.S. Serial No(s) , filed , respectively.
- ☐ This document is being filed within three (3) months of the filing date of the application
- ☐ A check for the requisite fee of \$180 is enclosed.
- ☐ This document is being concurrently filed with the above-identified application
- ☐ This document is being concurrently filed with an Request for Continued Examination (RCE)
- ☒ This document is being filed prior to a first Office Action
- ☒ This document is accompanied by a Search Report/Communication cited in a corresponding PCT Application No.: PCT/JP2004/013061.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500, Order No. 4439-4042.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.Dated: October 12, 2006By: Evelyn M. Kwon  
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<b>FORM PTO-1449B</b> <b>INFORMATION DISCLOSURE</b> <b>CITATION</b>		Attorney Docket: 4439-4042	Serial No.: 10/577,781
		Applicant: Yamaguchi, Masayoshi	
		Filing Date: April 28, 2006	Group Art Unit: TBA
		<b>NON PATENT LITERATURE DOCUMENTS</b>	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
/ML/	1.	ADACHI et al., "Disordered expression of the sucrase-isomaltase complex in the small intestine in Otsuka Long-Evans Tokushima fatty rats, a model of non-insulin-dependent diabetes mellitus with insulin resistance," <i>Biochimica et Biophysica Acta</i> , (1999) 1426, 126-132.	
/ML/	2.	MINAMI et al., "Effect of eicosapentaenoic acid ethyl v. oleic acid-rich safflower oil on insulin resistance in type 2 diabetic model rats with hypertriacylglycerolaemia," <i>Br. J. Nutr.</i> , (2002) 87(2), 157-162.	
/ML/	3.	ANZAWA, RYUKOU, "Ischemic Tolerance is Decreased in Hearts from Spontaneously Diabetic, Insulin-Resistant Otsuka Long-Evans Tokushima Fatty Rats," <i>Tokyo Jikeiai Medical Journal</i> , (2000) 115(2), 173-183.	
/ML/	4.	International Preliminary Report on Patentability in corresponding PCT Application No.: PCT/JP2004/013061.	
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Examiner Signature	/Maria Leavitt/	Date Considered	02/07/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.